

Roth IRA conversion authorization C for existing Putnam IRAs



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Use this form to convert from an existing Putnam Traditional IRA, IRA Rollover, SIMPLE IRA, SEP IRA or SARSEP to a Putnam Roth IRA. A conversion from an IRA to a Roth IRA will trigger a taxable event that will be reported to the Internal Revenue Service. Consult your tax advisor if you have any questions.

If you are establishing a new Putnam Roth IRA, you must also attach a completed Putnam Traditional or Roth IRA adoption agreement. If you already maintain a contributory Putnam Roth IRA, you do not need to complete a new Traditional or Roth IRA adoption agreement; however, Roth IRA conversion accounts will be established.

If you wish to establish a new Putnam Traditional IRA with a contribution and then immediately convert that amount to a Roth IRA conversion account, please submit a *Consolidated IRA and Roth Conversion application*.

Note: Roth conversion restrictions apply for class B investments. Please contact Putnam Investor Services or your financial representative for additional information.

Section 1 Complete your IRA account owner information

Name of account owner

First	MI	Last	Suffix	Social security number (required)	Date of birth (mm/dd/yyyy; required)
<input type="text"/>	<input type="text"/>				

Contact phone	E-mail address
<input type="text"/>	<input type="text"/>

Note: Providing an e-mail address and/or phone number above will replace the current contact information on file with Putnam (if applicable). No changes will be made for fields that are left blank. If you are enrolled in electronic delivery, all notifications will be sent to the e-mail address listed above.

Section 2 Convert from

Please indicate the dollar amount or percentage to convert from each applicable account. If both a dollar amount and percentage are provided, Putnam will default to converting by percentage.

Fund name	Fund number	Account number	Dollar amount	Percentage
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %

Please stop the existing systematic investment on the account(s) listed above.

Section 3 Convert to

Indicate the fund(s) into which the amount(s) listed in Section 2 should be transferred upon conversion by selecting from the options below. If no option is selected Putnam will default to transferring the assets in kind. Please note that a **Roth IRA Adoption Agreement is required** if a Roth IRA or Roth Conversion registration does not already exist.

- Please convert the indicated amount(s) from the IRA into the same fund(s) of the Roth Conversion (transfer in kind).
- Please convert the indicated amount(s) from the IRA into the Roth Conversion based on the allocations below. If you are establishing a new fund please indicate the fund name and number which can be found in the Putnam Fund Guide (<https://www.putnam.com/literature/pdf/FM103.pdf>). The share class of the Roth Conversion must remain consistent with the share class of the IRA.

Fund name	Fund number	Account number	Dollar amount	Percentage
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/> %

Section 4 Income tax withholding

Federal income taxes

By submitting this form, I am electing that no amount converted from my existing Putnam IRA to a Putnam Roth IRA be withheld for federal income tax, except as provided below (any federal withholding requested below will be taken from the amounts listed in Section 2):

Please withhold _____ % of the distribution(s) from my Putnam IRA account(s) for federal income tax.

I understand that I am still liable for any federal income taxes due on the taxable part of my conversion distribution, and I could incur penalties if my withholding or estimated tax payments for the year are not enough.

State income taxes

Putnam will withhold state income taxes if your address of record is in one of the following states: AR, CA, CT*, DE, IA, KS, MA, ME, MI*, MN*, NC, OK, OR, VT or the District of Columbia (DC)** and federal income tax is being withheld. State tax withholding requirements vary by state. Any required state/local withholding will be taken from the amounts listed in Section 2.

*Residents of CT, MI, or MN will have state income taxes withheld regardless of federal income tax withholding. To opt out of CT, MI, or MN state income taxes, the appropriate state specific form must be submitted with this Roth conversion request or already on file with Putnam.

**Residents of DC will have local income taxes withheld on 100% distributions regardless of federal income tax withholding.

Note: Whether or not you elect to have federal and, if applicable, state tax withholding apply, you are responsible for the full payment of federal income tax, any state or local taxes, and any penalties that may apply to your Roth conversion. You may be responsible for estimated tax payments and could incur penalties if your estimated tax payments are not sufficient.

Section 5 Authorization

This authorization instructs Putnam Fiduciary Trust Company, LLC to convert the indicated amounts of my Putnam IRA to a Putnam Roth IRA, which I have adopted. I acknowledge that this transaction will trigger a taxable event that will be reported to the Internal Revenue Service. I also acknowledge that any contingent deferred sales charge (CDSC) associated with any shares transferred will be transferred pro rata to the new Putnam Roth IRA. I understand that converting from a Putnam IRA to a Putnam Roth IRA will create an account called a Roth IRA Conversion, even if a Roth IRA account already exists for the fund chosen.

My signature below indicates that if I am designating an investment in a fund that I do not already own I have read the fund prospectus(es) and agree to the terms therein.

Signature of Owner (**required**)

Current date (mm/dd/yyyy)

Print name of signature above